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09/988,402	11/19/2001	Alexander Victorovitch Muratov	5290-000003	8251

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EXAMINER

ARANI, TAGHI T

ART UNIT PAPER NUMBER

2131

DATE MAILED: 06/01/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 09/988,402	Applicant(s) MURATOV ET AL.	
	Examiner Taghi T. Arani	Art Unit 2131	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on 13 March 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-33 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-33 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Taghi T. Arani
Primary Examiner
Art Unit 2131
5/22/06

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.

- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

1. Claims 1-33 have been examined and are pending.

Response to Arguments

Applicant's arguments filed 03/13/2006 have been considered but are moot in view of the new ground(s) of rejection.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-5, 9, 20-21 and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over prior art of record JP Pub No. 2001-016655 and US patent 6,504,480 to Magnuson et al. (hereinafter "Magnuson"), and further in view of US patent 7,047,426 to Andrews et al. (Hereinafter "Andrews")

Claims 1-5, 9, 20-21 and 25 rejected under 35 U.S.C. 102(a) as being anticipated by JP Pub No. 2001-016655.

As per claim 1, JP Pub No. 2001-016655 discloses a method of protecting data within a portable electronic device (Abstract), the method comprising the step of:

select a predetermined number of attempts for a valid password entry; determining whether a received password is valid upon detecting an attempt to unlock the device (paragraph

0024 discloses that the PDA is performing the activity with a password (i.e. requiring a password to access the data) and a control means detecting invalid password);

determining whether a number of attempts to enter the password exceeds the selected predetermined number of attempts for a valid password entry; and (page 1 of 4, discloses that the detection means detects that the count of failure of a password exceeded constant value (a predetermined number of attempts is inherent in the disclosed "constant value")).

erasing data stored on the device if the number of attempts exceed the predetermined number of attempts for a valid password entry (page 1 of 4, MEANS, where a detection means detects that the count of failure of a password exceeds constant value outputs a detecting signal, and a control means ...eliminates (i.e. erases) confidential information).

JP Pub No. 2001-016655 does not explicitly disclose erasing all applications (as persuasively argued) and data stored on the device if the number of attempts exceeds the predetermined number of attempts for a valid password entry.

However, in an analogous art, Magnuson discloses an electronic proximal security system comprising a master device and at least one slave device (Abstract, Fig. 1 and associated text, col. 6, lines 4-25), wherein if a non-owner attempts to access a slave device without appropriate control signals from the master device, the slave device runs a security application that erases or re-formats hard drive of the slave device (i.e. erasing all applications and data stored on the device).

Therefore, it would have been obvious to one of ordinary skill in the art to incorporate the security application as taught by Magnuson into the portable device disclosed by JP Pub No. 2001-016655 to erase all applications and data stored on the portable device if the device has

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been stolen and a thief (an unauthorized user) attempts to access the device without an appropriate device /control codes to prevent corporate espionage (col. 6, lines 4-25).

JP Pub No. 2001-016655 does not explicitly disclose a user accessing a graphical user interface (GUI) operating on the device to select a predetermined number of attempts for a valid password entry.

However, in an analogous art, Andrews discloses a method of communication between a portable computing device (see Fig. 4 and associated text) and a host computer, wherein the portable computing device is configured to display a graphical user interface (GUI) on a display through which the user may interact with portable computing device including a screen-blocking program configured to display an information window containing a message that the device has been locked, and a password window requiring a user to enter a password before gaining access to the contents of portable computing device (col. 6, lines 1-27).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the portable device disclosed by JP Pub No. 2001-016655 to incorporate the GUI taught by Andrews to facilitate the interactions between the device and the user of the device.

As per claim 2, JP Pub No. 2001-016655 discloses the method according to claim 1 further comprising the steps of:

requiring entry of a password to access the data within the portable electronic device;

determining whether the entered password is the valid password; and

allowing access to the data if the valid password is entered (paragraph 0024 discloses that the PDA is performing the activity with a password (i.e. requiring a password to access the data)

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and a control means detecting invalid password (i.e. detecting exhaustive search attack of password).

As per claims 3-4, JP Pub No. 2001-016655 discloses protecting the data within tie portable electronic device, where in the step of protecting further comprises encrypting selected data (Abstract, paragraph 0025).

As per claims 5 and 25, JP Pub No. 2001-016655 discloses the method according to claim 4 further comprising decrypting only a portion of the encrypted selected data being accessed after entry of a valid password (see claim 4, where the personal digital assistant equipment obtains private key to decode the encoded confidential information (i.e. decrypting a portion of the encrypted selected data)).

As per claim 9, JP Pub No. 2001-016655 discloses the method according to claim 1 wherein the predetermined number is a user defined (Claim 1, where count of failure of a password exceeded constant value, i.e. user defined number of failed password is inherent).

As per claim 20, JP Pub No. 2001-016655 disclose the method according to claim 1 wherein the portable electronic device is a personal digital assistant.

3. Claims 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over prior art of record JP Pub No. 2001-016655 and US patent 7,047,426 to Andrews et al. (Hereinafter "Andrews") and further in view of US patent 6,504,480 to Magnuson et al. (hereinafter "Magnuson").

As per claim 21, JP Pub No. 2001-016655 a method of protecting data within a portable electronic device to prevent access the data when in a locked mode, the method comprising the steps of:

encrypting selected data whenever the device is operating in a locked mode (paragraph 004, see also Abstract, paragraph 0025);

select a predetermined number of attempts for a valid password entry (paragraph 0024 discloses that the PDA is performing the activity with a password (i.e. requiring a password to access the data) and a control means detecting invalid password);

determining whether a number of attempts to enter the password exceeds the selected predetermined number of attempts for a valid password entry; and (page 1 of 4, discloses that the detection means detects that the count of failure of a password exceeded constant value (a predetermined number of attempts is inherent in the disclosed “constant value”)).

erasing data stored on the device if the number of attempts exceed the predetermined number of attempts for a valid password entry (page 1 of 4, MEANS, where a detection means detects that the count of failure of a password exceeds constant value outputs a detecting signal, and a control means ...eliminates (i.e. erases) confidential information).

JP Pub No. 2001-016655 does not explicitly disclose determining whether a received password is valid upon detecting an attempt to exit the locked mode;

However, in an analogous s art, Andrews discloses determining whether a received password is valid upon detecting an attempt to exit the locked mode (Andrews, col. 11, lines 29-41). Therefore, it would been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the teachings of Andrews within the method and system disclosed by JP Pub No. 2001-016655 to determine whether a received password is valid upon detecting an attempt to exit the locked mode in order to determine whether an authorized user is using the device, when the status of the device is unauthorized use (Andrews, col. 11, lines 39-41).

JP Pub No. 2001-016655 does not explicitly disclose erasing all applications (as persuasively argued) and data stored on the device if the number of attempts exceed the predetermined number of attempts for a valid password entry.

However, in an analogous art, Magnuson discloses an electronic proximal security system comprising a master device and at least one slave device (Abstract, Fig. 1 and associated text, col. 6, lines 4-25), wherein if a non-owner attempts to access a slave device without appropriate control signals from the master device, the slave device runs a security application that erases or re-formats hard drive of the slave device (i.e. erasing all applications and data stored on the device).

Therefore, it would have been obvious to one of ordinary skill in the art to incorporate the security application as taught by Magnuson into the portable device disclosed by JP Pub No. 2001-016655 to erase all applications and data stored on the portable device if the device has been stolen and a thief (an unauthorized user) attempts to access the device without an appropriate device /control codes to prevent corporate espionage (col. 6, lines 4-25).

JP Pub No. 2001-016655 does not explicitly disclose a user accessing a graphical user interface (GUI) operating on the device to encrypt selected data..... and to select a predetermined number of attempts for a valid password entry.

However, Andrews discloses a method of communication between a portable computing device (see Fig. 4 and associated text) and a host computer, wherein the portable computing device is configured to display a graphical user interface (GUI) on a display through which the user may interact with portable computing device including a screen-blocking program configured to display an information window containing a message that the device has been

locked, and a password window requiring a user to enter a password before gaining access to the contents of portable computing device (col. 6, lines 1-27).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the portable device disclosed by JP Pub No. 2001-016655 to incorporate the GUI taught by Andrews to facilitate the interactions between the device and the user of the device.

4. **Claims 6-8** are rejected under 35 U.S.C. 103(a) as being unpatentable over prior art of record JP Pub No. 2001-016655, US patent 6,504,480 to Magnuson et al. (hereinafter “Magnuson”), US patent 7,047,426 to Andrews et al. (Hereinafter “Andrews”) as applied to claims 1 and 4 above, and further in view of US Patent 4, 634,807 to Chorley et al. (hereinafter “Chorley”).

JP Pub No. 2001-016655 as modified does not disclose but Chorley discloses a tamper-resistant software protection device (SPD) including a plurality of detectors detecting particular attack where SPD erase information by overwriting with random number (i.e. erasing comprises bit-wiping at least some of the data, wherein overwriting the data is performed plurality of times).

It would have been obvious to one ordinary skilled in the art at the time the invention was made to employ Chorley’s SPD in the PDA disclosed by JP Pub No. 2001-016655 to deter various layers of attacks including curious and determined intruder (Chorley, col. 6, lines 26-35).

5. **Claims 10-12** are rejected under 35 U.S.C. 103(a) as being unpatentable over JP Pub No. 2001-016655, Magnuson, Andrews as applied to claim 1 above, and further in view of US Patent 6,501,380 to Jakobsson.

As per claims 10-11, JP Pub No. 2001-016655 as modified does not disclose but Jakobsson disclose (col. 1, lines 31-49) erasing the data after predetermined time period from the last syncing of the portable electronic device with another electronic device, wherein the predetermined time period is user defined (Abstract, col. 2, lines 43-62, col. 3, line 57 through col. 4, line 12, lines 47-54, col. 6, lines 53-53).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the PDA disclosed in JP Pub No. 2001-016655 with the theft deterrence mechanism of Jakobsson for erasing the data after predetermined time period from the last syncing of the portable electronic device with another electronic device to lower the anticipated value of a stolen portable device without lowering the value to its rightful owner (Jakobsson, col. 1, lines 10-19)

As per claim 12, JP Pub No. 2001-016655 as modified does not disclose but Jakobsson discloses locking the portable electronic device and requiring entry of the valid password after a predetermined period of non-operation of a powered on portable electronic device (col. 29-40).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the PDA disclosed in JP Pub No. 2001-016655 with the theft deterrence mechanism of Jakobsson of locking the portable electronic device and requiring entry of the valid password after a predetermined period of non-operation of a powered on portable electronic device to provide a theft deterrence mechanism for the portable electronic device (Jakobsson, col. 1, lines 10-19).

6. **As per claims 13 and 24**, JP Pub No. 2001-016655 discloses the method according to claim 12 wherein the step of locking is performed only after an additional user defined time

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period after the period of non-operation (paragraph 0029 discloses that the encrypted confidential information is replaced with dummy confidential information when the count of failure of the time of detection of a tamper exceeds a constant value).

7. Claims 14 and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over JP Pub No. 2001-016655, Magnuson, Andrews as applied to claim 2 above, and further in view of US Patent 5,574,786 to Dayan et al. (hereinafter Dayan).

As per claims 14 and 23, JP Pub No. 2001-016655 as modified does not disclose but Dayan teaches locking the portable electronic device and requiring entry of the valid password after powering off the portable electronic device (col. 4, lines 39-50, Dayan discloses a personal computer system which includes the optional capability to detect movement of the system from its normally aligned operating position. Upon such detection of movement, the movement detecting apparatus preferably activates a tamper evident mechanism whereby the system can only be activated after a power-off by a system owner, authorized user, or normal user properly entering the POP and/or the PAP in response to a PROMPT for a password during a power-up routine).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of JP Pub No. 2001-016655 to incorporate the teaching of Dayan for requiring entry of the valid password after powering off the portable electronic device disclosed by JP Pub No. 2001-016655 to render the portable device inoperable to any unauthorized user who does not have the knowledge of the system passwords (Dayan, col. 4, lines 31-37).

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8. Claims 15 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over JP Pub No. 2001-016655, Magnuson, Andrews as applied to claim 2 above, and further in view of US Patent 5,355,414 to Hale et al. (hereinafter Hale).

As per claims 15 and 22, JP Pub No. 2001-016655 does not expressly disclose but hale discloses the method according to claim 2 further comprising disabling data transfer means of the portable electronic device until the valid password is entered (col. 2, lines 22-28).

It would have been obvious to one of ordinary skill in the art to modify the system of JP Pub No. 2001-016655 with the teachings of hale to disable data transfer means of the portable electronic device until the valid password is entered with the motivation to provide a safeguard against unauthorized access to the operating of the portable device (Hale, col. 1, lines 63-67).

9. Claims 16-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over JP Pub No. 2001-016655, Magnuson, Andrews as applied to claim 2 above, and further in view of US Patent 6,370,250 to Stein.

As per claims 16 and 17, JP Pub No. 2001-016655 as modified does not disclose but Stein discloses protecting the valid password, wherein protecting the valid password is provided using an MD5 hash (col. 3, lines 52-67). It would have been obvious to one of ordinary skill in the art to employ the password protected method of Stein using MD5 to help strengthen the user authentication against attacks (Stein, col. 3 line 67 through col. 4, line 1).

10. Claims 18-19 Claims 15 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over JP Pub No. 2001-016655, Magnuson, Andrews as applied to claim 2 above, and further in view of JP Publication 08-251660

As per claim 18, JP Pub No. 2001-016655 as modified does not disclose but JP Publication 08-251660 discloses the step of requiring entry of a password is performed to restrict access to selected applications within the portable electronic device (ABSTRACT).

It would have been obvious to one of ordinary skill in the art to modify the method of JP Pub No. 2001-016655 with the teaching of JP Publication 08-251660 with a motivation to prevent that a theft and the lost wireless personal digital assistant are used improperly and to be able to change the PDA into a lock condition (JP Publication 08-251660, paragraphs 007-008)

As per claim 19, JP Publication 08-251660 teaches the method according to claim 2 further comprising displaying a lockout screen having the appearance of a normal start-up screen of the portable electronic device and having a password entry portion (JP Publication 08-251660, paragraph 0022).

11. **Claims 25-32** are apparatus claims corresponding to the method claims 1, 3-4 and 15. Claims 25-33 are rejected for the same reasons provided in the statement of rejections of claims 1, 3-4 and 15 above.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

12. **Claim 33** is rejected under 35 U.S.C. 102(b) as being anticipated by US Patent 6,504,480 to Magnuson et al. (hereinafter “Magnuson”).

Magnuson discloses a method of protecting data within a portable electronic device comprising (Abstract):

determining whether a predetermined time period has expired since the device has been synchronized with a second device (Fig. 3 and associated text, col. 5, line 55 through col. 6, line 3); and

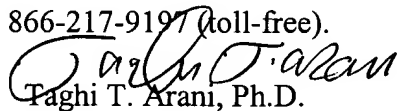
erasing all applications and data stored on the device if the predetermined time period has expired (col. 6, lines 4-25).

Conclusion

14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Taghi T. Arani whose telephone number is (571) 272-3787. The examiner can normally be reached on 8:00-5:30 Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Taghi T. Arani, Ph.D.

Examiner
Art Unit 2131
05/21/2006